

INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 3882/7US	Application Serial No.: 09/910,676
	Applicant(s): Adam G. KERRISON et al.	
	Filing Date: July 20, 2001	Group Art Unit: 2164 2153

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass
ORL	2001/0013107	08/09/01	Lewis	—	—
	2001/0047430	11/29/01	Dev et al.	—	—
	2001/0047409	11/29/01	Datta et al.	—	—
	2001/0042139	11/15/01	Jeffords et al.	—	—
	2001/0052085	12/13/01	Dube et al.	—	—
	2002/0188584	12/12/02	Ghannam et al.	—	—
	2002/0184528	12/5/02	Shevenell et al.	—	—
	2002/0075882	6/20/02	Donis et al.	—	—
	2002/0050926	05/02/02	Lewis et al.	—	—
	2002/0032760	03/14/02	Matthews et al.	—	—
	2003/0110396	6/12/03	Lewis et al.	—	—
	3,855,456	12/17/74	Summers et al.	—	—
	3,906,454	9/16/75	Martin	—	—
	4,135,662	01/23/79	Dlugos	—	—
	4,410,950	10/18/83	Toyoda et al.	—	—
	4,438,494	3/20/84	Budde et al.	—	—
	4,503,534	3/5/85	Budde et al.	—	—
	4,503,535	3/5/85	Budde et al.	—	—
	4,517,468	5/14/85	Kemper et al.	—	—
	4,545,013	10/1/85	Lyon et al.	—	—
✓	4,568,909	2/4/86	Whynacht	—	—
ORL	4,585,975	4/29/86	Wimmer	—	—

Exam. Init		Document Number	Date	Name	Class	Subclass
DRL		4,591,983	5/27/86	Bennett et al.	—	—
		4,622,545	11/11/86	Atkinson	—	—
		4,648,044	3/3/87	Hardy et al.	—	—
		4,727,545	2/23/88	Glackemeyer et al.	—	—
		4,817,092	3/28/89	Denny	—	—
		4,823,345	4/18/89	Daniel et al.	—	—
		4,866,712	9/12/89	Chao	—	—
		4,881,230	11/14/89	Clark et al.	—	—
		4,914,657	4/3/90	Walter et al.	—	—
		4,932,026	06/05/90	Dev et al.	—	—
		4,935,876	6/19/90	Hanatsuka	—	—
		5,107,497	4/21/92	Lirov et al.	—	—
		5,109,486	4/28/92	Seymour	—	—
		5,123,017	6/16/92	Simpkins et al.	—	—
		5,125,091	6/23/92	Staas, Jr. et al.	—	—
		5,133,075	7/21/92	Risch	—	—
		5,159,685	10/27/92	Kung	—	—
		5,179,556	01/12/93	Turner	—	—
		5,204,955	4/20/93	Kagei et al.	—	—
		5,214,653	5/25/93	Elliott, Jr. et al.	—	—
		5,247,517	9/21/93	Rose et al.	—	—
		5,261,044	11/09/93	Dev et al.	—	—
		5,293,629	3/8/94	Conley et al.	—	—
		5,295,244	03/15/94	Dev et al.	—	—
		5,309,448	5/3/94	Bouloutas et al.	—	—
		5,321,837	6/14/94	Daniel et al.	—	—
		5,375,070	12/20/94	Hershey et al.	—	—
DRL		5,432,934	7/11/95	Levin et al.	—	—

Exam. Init		Document Number	Date	Name	Class	Subclass
DL		5,436,909	07/25/95	Dev et al.	—	—
		5,483,637	01/9/96	Winokur et al.	—	—
		5,485,455	01/16/96	Dobbins et al.	—	—
		5,491,694	02/13/96	Oliver et al.	—	—
		5,495,470	2/27/96	Tyburski et al.	—	—
		5,504,921	4/02/96	Dev et al.	—	—
		5,521,910	05/28/96	Matthews	—	—
		5,528,516	6/18/96	Yemini et al.	—	—
		5,559,955	09/24/96	Dev et al.	—	—
		5,590,120	12/31/96	Vaishnavi et al.	—	—
		5,627,819	5/06/97	Dev et al.	—	—
		5,649,103	07/15/97	Datta et al.	—	—
		5,666,481	09/09/97	Lewis	—	—
		5,675,741	10/07/97	Aggarwal et al.	—	—
		5,687,290	11/11/97	Lewis	—	—
		5,696,486	12/09/97	Poliquin et al.	—	—
		5,706,436	01/06/98	Lewis et al.	—	—
		5,722,427	03/03/98	Wakil et al.	—	—
		5,727,157	03/10/98	Orr et al.	—	—
		5,734,642	03/31/98	Vaishnavi et al.	—	—
		5,748,781	05/05/98	Datta et al.	—	—
		5,751,933	05/12/98	Dev et al.	—	—
		5,751,965	05/12/98	Mayo et al.	—	—
		5,754,532	05/19/98	Dev et al.	—	—
		5,764,955	06/09/98	Doolan	—	—
		5,768,501	06/16/98	Lewis	—	—
		5,777,549	07/07/98	Arrowsmith et al.	—	—
DL		5,790,546	08/04/98	Dobbins et al.	—	—

Exam. Init		Document Number	Date	Name	Class	Subclass
DRL		5,791,694	08/11/98	Fahl et al.	—	—
		5,793,362	08/11/98	Matthew et al.	—	—
		5,812,750	09/22/98	Dev et al.	—	—
		5,822,305	10/13/98	Vaishnavi et al.	—	—
		5,832,503	11/03/98	Malik et al.	—	—
		5,872,928	02/16/99	Lewis et al.	—	—
		5,889,953	03/30/99	Thebaut et al.	—	—
		5,907,696	05/25/99	Stilwell et al.	—	—
		5,940,376	08/17/99	Yanacek et al.	—	—
		5,970,984	10/26/99	Wakil et al.	—	—
		5,980,984	11/09/99	Modera et al.	—	—
		5,987,442	11/16/99	Lewis et al.	—	—
		6,000,045	12/07/99	Lewis	—	—
		6,003,090	12/14/99	Puranik et al.	—	—
		6,014,697	01/11/00	Lewis et al.	—	—
		6,026,442	02/15/00	Lewis et al.	—	—
		6,041,383	03/21/00	Jeffords et al.	—	—
		6,049,828	04/11/00	Dev et al.	—	—
		6,057,757	05/02/00	Arrowsmith et al.	—	—
		6,064,304	05/16/00	Arrowsmith et al.	—	—
		6,064,986	05/16/00	Edelman	—	—
		6,064,996	05/16/00	Yamaguchi et al.	—	—
		6,084,858	07/04/00	Matthews et al.	—	—
		6,115,362	09/05/00	Bosa et al.	—	—
		6,131,112	10/10/00	Lewis et al.	—	—
		6,138,122	10/24/00	Smith et al.	—	—
		6,141,720	10/31/00	Jeffords et al.	—	—
DRL		6,199,172	03/06/01	Dube et al.	—	—

Exam. Init		Document Number	Date	Name	Class	Subclass
<i>DRL</i>		6,205,563	03/20/01	Lewis	—	—
		6,209,033	03/27/01	Datta et al.	—	—
		6,216,168	04/10/01	Dev et al.	—	—
		6,233,623	05/15/01	Jeffords et al.	—	—
		6,243,747	06/05/01	Lewis et al.	—	—
		6,255,943	07/03/01	Lewis et al.	—	—
		6,324,530	11/27/01	Yamaguchi et al.	—	—
		6,324,590	11/27/01	Jeffords et al.	—	—
		6,336,138	1/1/02	Caswell et al.	—	—
		6,349,306	02/19/02	Malik et al.	—	—
		6,373,383	04/16/02	Arrowsmith et al.	—	—
		6,374,293	04/16/02	Dev et al.	—	—
		6,381,639	04/30/02	Thebaut et al.	—	—
		6,392,667	05/21/02	McKinnon et al.	—	—
		6,421,719	07/16/02	Lewis et al.	—	—
		6,430,712	08/06/02	Lewis	—	—
		6,437,804	08/20/02	Ibe et al.	—	—
		6,502,079	12/31/02	Ball et al.	—	—
		6,510,478	01/21/03	Jeffords et al.	—	—
		6,603,396	08/05/03	Lewis et al.	—	—
		6,651,062	11/18/03	Ghannam et al.	—	—
<i>DRL</i>					—	—


FOREIGN PATENT DOCUMENTS

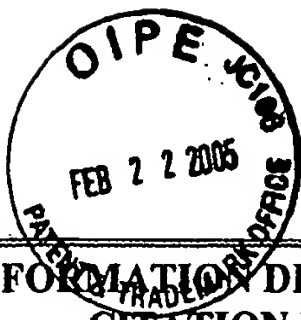
Exam. Init.		Document Number	Date	Country	Class	Translation
<i>DM</i>		EP 0 209 795	01/28/87	EPO	—	—
<i>DM</i>		EP 0 319 998 B1	6/14/89	EPO	—	—

Exam. Init.		Document Number	Date	Country	Class	Translation
<i>DML</i>		EP 0 338 561 B1	10/25/89	EPO	—	—
		EP 0 342 547 B1	11/23/89	EPO	—	—
		EP 0 616 289	9/21/94	EPO	—	—
		EP 0 686 329 B1	12/13/95	EPO	—	—
		WO 00/13112	3/9/00	WIPO	—	—
		WO 00/72183	11/30/00	WIPO	—	—
		WO 01/86844	11/15/01	WIPO	—	—
		WO 01/86775	11/15/01	WIPO	—	—
		WO 01/86444	11/15/01	WIPO	—	—
		WO 01/86443	11/15/01	WIPO	—	—
		WO 01/86380	11/15/01	WIPO	—	—
		WO 02/06973	1/24/02	WIPO	—	—
		WO 02/06972	1/24/02	WIPO	—	—
		WO 02/06971	1/24/02	WIPO	—	—
		WO 89/07377	8/10/89	WIPO	—	—
		WO 93/00632	01/7/93	WIPO	—	—
		WO 95/20297	7/27/95	WIPO	—	—
		WO 96/09707	3/28/96	WIPO	—	—
		WO 97/44937	11/27/97	WIPO	—	—
		WO 97/37477	10/09/97	WIPO	—	—
		WO 97/29570	08/14/97	WIPO	—	—
		WO 97/16906	05/09/97	WIPO	—	—
		WO 98/44682	10/8/98	WIPO	—	—
		WO 98/42109	9/24/98	WIPO	—	—
		WO 98/52322	11/19/98	WIPO	—	—
<i>✓</i>		WO 99/27682	6/3/99	WIPO	—	—
<i>DML</i>					—	—

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P		Lewis, Lundy, Service Level Management for Enterprise Networks, Artech House, 1999
		Integrated Network Management V: Integrated Management in a Virtual World, Proceedings of the Fifth IFIP/IEEE International Symposium on Integrated Network Management, San Diego, California, May 12-16, 1997, Lazar A. et. al, eds., Chapman & Hall, 1997
		Stevenson Douglas W., Network Management: What it is and what it isn't, April 1995, http://www.sce.carleton.ca/netmanage/NetMngmnt/NetMngmnt.html (visited 8/30/2004)
		Network and Distributed Systems Management, Morris Sloman, Ed., Addison-Wesley, 1994
		RFC 1158 - Management Information Base for network management of TCP/IP-based internets: MIB-II, Network Working Group, M. Rose, Ed., May 1990, http://www.faqs.org/rfcs/rfc1158.html (visited 8/30/04)
		RFC 1157 - Simple Network Management Protocol (SNMP), Network Working Group, J. Case et al., Eds., May 1990, http://www.faqs.org/rfcs/rfc1157.html (visited 8/30/04)
		RFC 1156 - Management Information Base for network management of TCP/IP-based internets, Network Working Group, K. McCloghrie and M. Rose, Eds., May 1990, http://www.faqs.org/rfcs/rfc1156.html (visited 8/30/04)
		RFC 1155 - Structure and identification of management information for TCP/IP-based internets, Network Working Group, M. Rose and K. McCloghrie, Eds., May 1990, http://www.faqs.org/rfcs/rfc1155.html (visited 8/30/04)
		ISO/IEC 10164-1: 1993, Information technology - Open Systems Interconnection - Systems Management: Object Management Function
		ISO/IEC 10164-2:1993, Information technology - Open Systems Interconnection - Systems Management: State Management Function
		ISO/IEC 10164-4:1992, Information technology - Open Systems Interconnection - Systems management: Alarm reporting function
		ISO/IEC 10164-5:1993, Information technology - Open Systems Interconnection - Systems management: Event Report Management Function
✓		ISO/IEC 10165-1:1993, Information technology - Open Systems Interconnection - Management Information Services - Structure of management information: Management Information Model
DR1		ISO/IEC 9595:1998, Information technology - Open Systems Interconnection -

		Common management information service
OK		ISO/IEC 9596-2:1993, Information technology - Open Systems Interconnection - Common management information protocol: Protocol Implementation Conformance Statement (PICS) proforma
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Examiner:		Date Considered:
		7/18/05



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DML	5,655,081	8/5/1997	Bonnell et al.	/	/
DML	5,777,549	7/7/1998	Arrowsmith et al.	/	/
OK	5,872,931	2/16/1999	Chivaluri	/	/
DML	6,253,211	6/26/2001	Gillies et al.	/	/

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Exam. Init.	Document Number	Date	Country	Class	Translation
DML	WO 01/47187 A3	6/28/2001	WIPO	/	/
DML	WO 96/31035	10/03/1996	WIPO	/	/

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

DML	Catania, V. et al., "Monitoring Performance in Distributed Systems", Computer Communications, Elsevier Science (1996)
DML	Schlaerth, J., "A Concept for Tactical Wide-Area Network Hub Management" IEEE, (1994)
Examiner:	Date Considered:
	7/18/05